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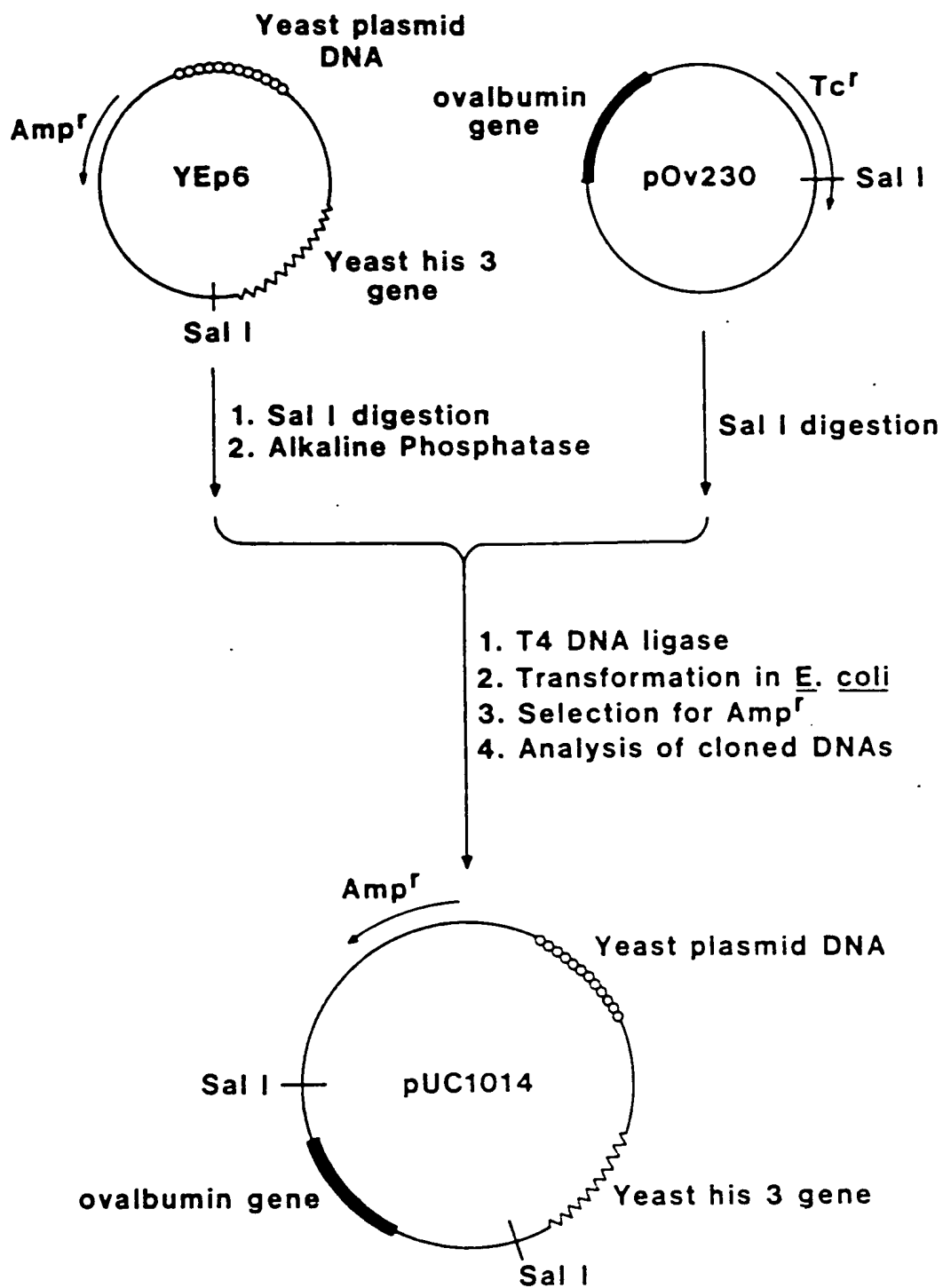
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(54) Gene expression

(57) A process for the expression of a
gene, foreign to a host organism,
coding for a protein, in a suitable
vehicle, which comprises taking said
gene and fusing it in the correct

orientation relative to a transcriptional
initiation region present in the vehicle,
and inserting the vehicle into a
eukaryotic host. For example, the gene
for chicken ovalbumin can be
expressed in the yeast *Saccharomyces*
cerevisiae.



SPECIFICATION

Gene expression

Many benefits in the medical field are envisaged as a result of the application of

- 5 recombinant DNA technology. This technology requires the insertion, into host organisms, of genes able to direct the biosynthesis of required proteins. In most cases, a protein of interest will normally be synthesised in animal cells and will
- 10 not be found naturally in yeast or other lower eukaryotes. Although it has been possible to clone a number of different animal genes containing the information necessary to code for proteins, there are few reports of the expression of these proteins
- 15 in bacteria and other unicellular organisms. Some which have been expressed in *E. coli* are the human polypeptide hormone somatostatin (Itakura *et al.* Science 198 (1977) 1056—1063), rat proinsulin, and human insulin chains.
- 20 The chicken ovalbumin gene has been successfully expressed in *E. coli* HB101, by fusing the gene near transcriptional and translational initiation regions. See Proceedings of National Academy of Sciences 6 (1978) 5936—5940. We
- 25 have now found that the chicken ovalbumin structural gene can be fused to, for example, a *S. cerevisiae* transcriptional control region.
- According to the present invention, a process for the expression of a gene in a vehicle, the gene
- 30 coding for an animal or plant protein, comprises fusing the gene in the correct orientation relative to a transcriptional initiation region present in the vehicle, and inserting the vehicle into a eukaryotic host.
- 35 By way of example, when a plasmid containing the chicken ovalbumin structural hybrid gene is introduced into *S. cerevisiae*, a protein identified as ovalbumin by immunoreactivity and
- 40 polyacrylamide gel electrophoresis can be synthesised. The chicken ovalbumin made in yeast is full-length (43,000 MW) and constitutes approximately 1,500 molecules per cell. We believe that this is the first animal protein expressed in yeast.
- 45 Examples of other proteins which can be produced using a gene inserted by the process of the present invention are human serum albumin, human interferons, human antibodies, human insulin, blood clotting factors, brain peptides,
- 50 enzymes, viral antigens, and proteins from plants. The gene may be derived from a plant or animal virus. Broadly, the invention relates to the expression of gene coding for proteins which are foreign to the host organism.
- 55 Preferably, the gene codes for an animal protein. The gene is suitably isolated from a vertebrate which is preferably warm-blooded, more preferably a bird and most preferably a chicken. The invention is particularly suited to the
- 60 expression of genes coding for chicken ovalbumin.

A structural gene coding for a eukaryotic protein can generally be prepared using its purified messenger RNA (mRNA) as starting material. A complementary DNA (cDNA) copy of the mRNA is

- 65 enzymatically synthesised and then enzymatically made double-stranded. This gene is then joined to a suitable cloning vehicle, usually by the poly dA: poly dT tailing procedure (Jackson *et al.* Proc. Nat. Acad. Sci. 69 (1972) 2904—2909).
- 70 although this is not always necessary. The vehicle containing the structural gene is then amplified in bacteria. This procedure has been used to prepare the pOV 230 plasmid, as well as plasmids containing globin genes and insulin genes.
- 75 Examples of other vehicles which can be used in the invention are any that can replicate within yeast, such as a plasmid, e.g. YEp2, YEp4, YRp7, YEp20 or, preferably, YEp6. Vectors which can replicate in other lower eukaryotic hosts can be
- 80 used.

Examples of hosts for the vehicle are *S. cerevisiae* and derivatives thereof. The host is preferably a unicellular organism such as a fungus. It is recognised that these latter hosts would have to be approved, at least in the USA, by the NIH Guidelines.

- 85 The plasmids (YEp6, pOV 230 and pUC 1014) described herein have been deposited in *E. coli* hosts in the permanent collection of the Northern Regional Research Laboratory, US Department of Agriculture, Peoria, Illinois, USA. Their accession numbers in this repository are HB101—NRRL B-11371; HB101 (pOV 230)—NRRL B-11354; HB101 (YEp 6)—NRRL B-12093; and HB101
- 90 (pUC 1014)—NRRL B-12094. These NRRL deposits were made on 26th July 1978 (B-11354), 9th August 1978 (B-11371) and 31st January 1980 (B-12093 and B-12094).

- 95 pUC 1014 has also been deposited in *S. cerevisiae* SHY 3 strain which is an NIH approved HV 2 host. The accession numbers of these yeast deposits are: *S. cerevisiae* SHY 3 strain—NRRL Y-12095 and CBS (Holland) 8026; SHY 3 (pUC 1014)—NRRL Y-12096 and CBS
- 100 8025. These two NRRL deposits were made on 31st January 1980.

- 105 The YEp6 plasmid (Struhl *et al.*, Proc. Nat. Acad. Sci. 76 (1979) 1035—1039) contains an *E. coli* replication origin and ampicillin resistance
- 110 marker derived from pBR322 so that it can be maintained in *E. coli*. In addition it contains a yeast plasmid replication origin and yeast *His3* gene so that it can be maintained in his⁺ yeast auxotrophs. The YEp6 plasmid also has a unique
- 115 Sal I restriction endonuclease site which can be used for cloning foreign DNA.

- 120 Construction of the YEp6-ovalbumin fused plasmid, pUC 1014, proceeds as shown in the accompanying drawing. Although the
- 125 abbreviations used here and throughout are conventional and well known to those skilled in the art, they are redefined here to facilitate a clear understanding of the invention:

Restriction endonucleases: SAL I (in the Example).

Tc^r — tetracycline resistance gene.

amp^r — ampicillin resistance gene.

Yeast his 3 gene — gene coding for an enzyme required for the biosynthesis of histidine in yeast.

T4 DNA ligase — enzyme coded for by bacteriophage T4.

YEp — yeast episomal plasmid.

pUC — official designation for a plasmid owned by The Upjohn Company.

pOV — plasmid ovalbumin.

Ovalbumin gene — chicken ovalbumin structural gene.

The YEp6 plasmid is cut with Sal I and the resulting linear molecule is treated, advantageously with alkaline phosphatase, to remove the 5' phosphate groups on the ends of the molecule.

The pOV 230 plasmid contains nearly all of the ovalbumin mRNA sequence, including all of the information required to code for the amino acid sequence of chicken ovalbumin [McReynolds, L. A., Catterall, J. F. and O'Malley, B. W. (1977) *Gene* 2: 217—231]. This plasmid is cut with Sal I, ligated with the alkaline phosphatase-treated, Sal I cut YEp6 and transformed into *E. coli*. Transformants are selected on ampicillin plates and their plasmid DNA's analyzed.

There are two possible orientations of the ovalbumin gene relative to the YEp6 plasmid, and these can be distinguished by Bam H1 digestion of the DNA and agarose gel electrophoresis.

Preparations of the plasmid DNA's are made by growth in *E. coli* and used to transform *S. cerevisiae*. His⁺ transformations are selected on supplemented minimal media plates under conditions where the His⁻ parents can not grow. The transformants are then grown in broth and lysed by passage through a French pressure cell. The extracts are analyzed for ovalbumin immunoreactivity with an ¹²⁵I solid phase radioimmunoassay.

As determined by this immunoassay, only one of the two possible orientations of the ovalbumin gene relative to the YEp6 plasmid is capable of directing the synthesis of ovalbumin in yeast. The hybrid plasmid with this orientation, called pUC 1014, requires transcription of the ovalbumin gene in the counterclockwise direction of the plasmid illustrated in the drawing. The other orientation requires transcription in the clockwise direction. Thus, the ovalbumin gene is only expressed in yeast if it is fused into the YEp6 plasmid in the correct orientation relative to a yeast transcriptional initiation region.

Polyacrylamide gel electrophoresis of the chicken ovalbumin made in yeast indicates that it migrates with a mobility almost identical to that of ovalbumin synthesized in chicken oviduct. Some differences in mobility would be expected due to incomplete post-translational modifications of the ovalbumin made in yeast. Thus, the normal translational initiation site of the chicken gene is most probably used by the yeast translational machinery.

The following examples are illustrative of the process and products of the subject invention but are not to be construed as limiting. All percentages are by weight and all solvent mixture proportions are by volume unless otherwise noted.

EXAMPLE 1

DNA Preparation

pOV 230 Plasmid DNA from NRRL B-11354 is isolated by the salt precipitation technique described by Guerry *et al.* [Guerry, P., LeBlanc, D. J. and Falkow, S. (1973) *J. Bact.* 116: 1064—1066]. L-broth [Lennox, E. S. (1955) *Virology* 1: 190—206] containing 10 µg/ml tetracycline is inoculated with an overnight broth culture of NRRL B-11354. Cultures are shaken vigorously at 37° C. until the optical density at 600 nm reaches 0.8; plasmid copy number is then amplified for 18 hours with chloramphenicol (250 µg/ml). Cells are washed once in 50 mM Tris · HCl, pH 8.0, 20 mM EDTA, and resuspended in 33 ml of 25% sucrose in TE (10 mM Tris · HCl, pH 8.0, 0.1 mM EDTA) per liter of culture. Following the addition of 1 mg/ml lysozyme, the suspension is incubated on ice for five minutes, followed by addition of 1/3 volume of 0.25 M EDTA, pH 8.0 and another 5 minute incubation on ice. Cells are lysed by addition of 10% sodium dodecyl sulfate (SDS) in 37 mM Tris · HCl, pH 8.0, 67 mM EDTA, to a final concentration of 1.3% followed by incubation at 37° C. for 30 minutes. Chromosomal DNA is salted out by bringing the NaCl concentration to 1 M; followed by cooling at 4° C. overnight. SDS and chromosomal DNA are removed by centrifuging at 17,000 × g for 30 minutes at 4° C. The resulting supernatant is ethanol precipitated, pelleted, and redissolved in TE. This material is phenol extracted twice, ether extracted; ethanol precipitated, pelleted and resuspended in TE. Plasmid DNA is further purified by cesium chloride-ethidium bromide density gradient centrifugation. Cesium chloride is dissolved in the DNA solution at a ratio of 1:1 (wt.:vol.), followed by addition of 550 µg/ml ethidium bromide. Gradients are centrifuged for approximately 40 hours at ca. 100,000 × g. Plasmid DNA is removed from the gradient by needle puncture, and the ethidium bromide extracted with H₂O-saturated 1-butanol. DNA is then dialyzed in 10 mM Tris · HCl, pH 8.0, 0.1 mM EDTA, followed by a final ethanol precipitation. Purified plasmid DNA is dissolved in 10 mM Tris · HCl, pH 8.0, 0.1 mM EDTA.

If ampicillin is substituted for tetracycline in the method described above for preparing pOV 230 DNA, the method can also be used to prepare YEp6 DNA and pUC 1014 DNA in *E. coli*. Other plasmid DNA's can be prepared by this method if an appropriate selection (i.e., another antibiotic) is used to maintain the plasmid in the culture. Also, it is within the skill of those in the art to vary the above conditions to prepare plasmid DNA.

EXAMPLE 2

Restriction Endonuclease Digestions

Sal I digestion of YEp6 DNA and pOV 230 DNA, prepared as described in Example 1, is done in a reaction mixture containing 6 mM Tris · HCl, pH 8.0, 6 mM MgCl₂, 150 mM NaCl, 6 mM β-mercaptoethanol, 100 µgm/ml autoclaved gelatin,

80 $\mu\text{g}/\text{ml}$ DNA and 80 units/ml Sal I restriction endonuclease. After incubation for 60 minutes at 37° C., the reaction mixture is phenol extracted, ether extracted and ethanol precipitated. It should be realized that the use of another vehicle might require the use of a different restriction endonuclease.

It is within the skill of those in the art to vary the concentrations of reagents, substrates and enzymes as well as reaction conditions to obtain the desired cleavages.

EXAMPLE 3

Alkaline Phosphatase Treatment

This procedure is carried out essentially as described by Ullrich *et al.* [Ullrich, A., Shine, J., Chirgwin, J., Pictet, R., Tischler, E., Rutter, W. J. and Goodman, H. M. (1977) *Science* 196: 1313—1319] with some minor modifications. Twelve Units/ml of bacterial alkaline phosphatase (BAPF, Worthington) in 20 mM Tris · HCl, pH 8.0, are pre-incubated at 70° C. for 10 minutes. One hundred $\mu\text{g}/\text{ml}$ of Sal I cut YEp6 DNA, prepared as described in Example 2, is then added and incubation at 70° C. continues for 15 minutes. The reaction mixture is then phenol extracted three times, ether extracted, and ethanol precipitated. This procedure is optional in the preparation of pUC 1014. However, use of the procedure affords a higher ratio of pUC 1014 to parental YEp6 plasmid among ampicillin resistant transformants, thereby facilitating the recovery of pUC 1014.

EXAMPLE 4

T4 DNA Ligase

In order to ligate the pOV 230 DNA to the alkaline phosphatase treated YEp6 DNA, prepared as described in Example 3, the reaction mixture contains 50 mM Tris · HCl, pH 7.8, 10 mM MgCl_2 , 20 mM dithiothreitol, 1 mM ATP, 30 $\mu\text{g}/\text{ml}$ YEp6 DNA, 6 $\mu\text{g}/\text{ml}$ Sal I cut pOV 230 and 15 Units/ml of T4 DNA ligase. After incubation for 16 hours at 12.5° C., the reaction mixture is ethanol precipitated and the pellet dissolved in TCM (10 mM Tris · HCl, pH 8.0, 10 mM CaCl_2 , 10 mM MgCl_2). It is within the skill of those in the art to vary the concentrations of reagents, substrates and enzymes, as well as reaction conditions, to obtain the desired ligations.

EXAMPLE 5

Transformation of *E. coli*

One hundred twenty ml. of L-broth (1% tryptone, 0.5% yeast extract, 0.5% NaCl) are inoculated with an 18 hour culture of HB101 NRRL B-11371 and grown to an optical density of 0.6 at 600 nm. Cells are washed in cold 10 mM MgSO_4 and resuspended for 15 minutes in 20 ml chilled 50 mM CaCl_2 . Bacteria are then concentrated to one-tenth of this volume in CaCl_2 and mixed 2:1 (v:v) with ligated DNA, prepared as described in Example 4. After chilling the cell-DNA mixture for 15 minutes, it is heat shocked at 42° C. for 2 minutes, then allowed to equilibrate

at room temperature for ten minutes before addition of L-broth 2-1/3 times the volume of the cell-DNA suspension. Transformed cells are incubated in broth at 37° C. for one hour before inoculating selective media (L-agar plus 20 $\mu\text{g}/\text{ml}$ ampicillin) with 200 μl /per plate. Plates are incubated at 37° C. for 48 hours to allow the growth of transformants. Although the transformation procedure is essential for the amplification of biochemically constructed recombinant DNA molecules, the choice of conditions for such a procedure can be changed by those skilled in the art to achieve the desired purpose.

EXAMPLE 6

Transformation of NRRL Y-12095 to NRRL Y-12096

SHY 3 strain of *Saccharomyces cerevisiae* (ura⁻trp⁻leu⁻his⁻ade⁻), NRRL Y-12095 is transformed as follows: Twenty ml of log phase culture grown in YEPD broth (1% yeast extract, 2% peptone, 2% glucose) to an OD₆₀₀ of 2.0 (3×10^7 cells/ml) were pelleted and resuspended in 1/10 volume 0.9 M sorbitol, 50 mM KPO₄ buffer, pH 7.5, 14 mM β -mercaptoethanol. Spheroplasts are formed by addition of 1% Glusulase (Endo Laboratories) and incubation at 30° C. for 60 minutes. After washing three times in 1 M sorbitol, spheroplasts are resuspended in 1/100 original culture volume of 1 M sorbitol, 10 mM Tris-HCl, pH 7.5, 10 mM CaCl_2 . pUC 1014 DNA is added to a final concentration of 20 $\mu\text{g}/\text{ml}$. After incubation at room temperature for 5 minutes, 10 volumes of 40% polyethylene glycol 4000, 10 mM tris-HCl, pH 7.5, 10 mM CaCl_2 are added, followed by 10 minutes incubation at room temperature. His⁺ transformations are selected by overlaying minimal agar [0.7% yeast nitrogen base (Difco), 2% glucose, 2% agarose, supplemented with 20 $\mu\text{g}/\text{ml}$ uracil, adenine and tryptophan and 30 $\mu\text{g}/\text{ml}$ leucine] with 0.2 ml cells suspended in 10 ml molten (45° C.) regeneration medium (minimal medium containing 1 M sorbitol, 2% YEPD and 3% agarose). Plates are incubated at 28° C. for 5—6 days.

EXAMPLE 7

Yeast Cell Extract Preparation

Yeast cells grown in 100 ml minimal medium at 28° C. to early stationary phase are washed in Dulbecco's phosphate buffered saline (Gibco) and resuspended in extraction buffer (1 mM Tris-HCl pH 7.4, 1 mM MgCl_2 , 5 mM NaCl) at a ratio of 1:1 (volume in ml: cell weight in gm). The slurry is put through a French pressure cell twice at 15,000 PSI to lyse the cells.

EXAMPLE 8

Solid Phase Radioimmunoassay

This is done by a slight modification of the method described by Broome and Gilbert [Proc. Nat. Acad. Sci., 75: 2746—2749, 1978]. Polyvinyl chloride sheets (8 mils thick, made by Dora May Co., obtained at Woolworth's) 8 cm in

diameter, are floated for 2 minutes at room temperature on 10 ml. of .2 M NaHCO₃ (pH 9.2) containing 600 µgm of the IgG fraction from anti-ovalbumin goat serum. The polyvinyl is then
 5 turned over and the other side coated. The polyvinyl is then washed with a solution (wash buffer) containing phosphate-buffered saline, 0.5% normal rabbit serum and 0.1% bovine serum albumin. After washing, the sheets are placed in
 10 contact with protein to be tested for the presence of immunoreactive ovalbumin. If cell lysates are to be tested, it is most convenient to spot the lysate on an agarose gel matrix. Proteins within a polyacrylamide gel matrix can also be tested after
 15 they had been separated by electrophoresis. The IgG-coated polyvinyl is placed in contact with either the agarose or polyacrylamide gel matrixes, and incubated in the refrigerator (approximately 4° C.) for several hours. The polyvinyl sheet is
 20 then placed in contact with [¹²⁵I] labelled anti-ovalbumin IgG and incubated overnight in the refrigerator. After rinsing in wash buffer, the sheets are autoradiographed with Kodak XR-5 film and a duPont Cronex Hi- plus intensifying screen at
 25 at -70° C.

EXAMPLE 9

Purification of Ovalbumin

The transformed yeast cells of Example 6 are grown in minimal medium (0.7% yeast nitrogen base, 2% glucose supplemented with 20 mg/ml uracil, adenine and tryptophan, and 30 µg/ml leucine) to early stationary phase, and washed in Dulbecco's phosphate buffered saline (Gibco). The cells, contained ovalbumin, are then resuspended in
 30 extraction buffer (1 mM Tris-HCl, pH 7.4, 1 mM MgCl₂, 5 mM NaCl) at a ratio of 1:1 (volume in ml: cell weight in gm). The slurry is put through a French pressure cell at 15,000 PSI to lyse the cells. Purification to obtain crystalline ovalbumin,
 35 for use in the baking industry or for research purposes, can be carried out as described by Shepherd *et al.* in Methods in Carbohydrate Research Vol. VII (1976) ed. Whistler, and BeMiller, Academic Press, New York, pages
 40 172—174. Crystalline ovalbumin is listed in the sales catalogues of various fine chemical suppliers.

The plasmic pUC 1014 and the yeast culture SHY 3 (pUC 1014) CBS 8025 form further
 50 aspects of the present invention. A further process of the present invention is for preparing chicken ovalbumin, a process comprising culturing

S. cerevisiae SHY 3 (pUC 1014) CBS 8025 in an aqueous nutrient medium. The conditions will
 55 normally be controlled as necessary.

CLAIMS

1. A process for the expression of a gene coding for an animal or plant protein in a vehicle, which comprises fusing the gene in the correct orientation relative to a transcriptional initiation region present in the vehicle, and inserting the vehicle into a eukaryotic host.
2. A process according to claim 1 wherein the gene codes for a plant protein.
3. A process according to claim 1 wherein the gene is from a plant virus.
4. A process according to claim 1 wherein the gene codes for an animal protein.
5. A process according to claim 1 wherein the gene is from an animal virus.
6. A process according to claim 4 wherein the gene is isolated from a vertebrate.
7. A process according to claim 6 wherein the vertebrate is warm-blooded.
8. A process according to claim 7 wherein the warm-blooded vertebrate is a bird.
9. A process according to claim 8 wherein the bird is a chicken.
10. A process according to claim 9 wherein the gene is the chicken ovalbumin structural gene.
11. A process according to any preceding claim wherein the vehicle is a plasmid.
12. A process according to claim 11 wherein the plasmid is YE p 6.
13. A process according to any preceding claim wherein the host is foreign to the gene.
14. A process according to any preceding claim wherein the host is a unicellular organism.
15. A process according to claim 14 wherein the transcriptional initiation region naturally initiates mRNA synthesis in the unicellular organism.
16. A process according to claim 1 substantially as exemplified herein.
17. A process according to claim 14 or claim 15 wherein the unicellular organism is a fungus.
18. A process for preparing chicken ovalbumin, which comprises culturing *S. cerevisiae* SHY 3 (pUC 1014), CBS 8025, in an aqueous nutrient medium.
19. SHY 3 (pUC 1014) CBS 8025.
20. Plasmid pUC 1014.

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